

UNITED STATES OF AMERICA
Before the
SECURITIES AND EXCHANGE COMMISSION

ADMINISTRATIVE PROCEEDING
File No. 3-17212

In the Matter of

**LOGITECH INTERNATIONAL, S.A.,
MICHAEL DOKTORCZYK, and
SHERRALYN BOLLES, CPA,**

Respondents,

PLAN NOTICE OF LOGITECH FAIR FUND

TO: Persons who purchased shares of Logitech ordinary stock on the SIX Swiss Exchange (the “Security”) during the period May 28, 2011 through July 27, 2011, inclusive (the “Recovery Period”).

If you purchased the Security during the Recovery Period, you must submit a completed Claim Form with the necessary documentation so that it is postmarked (or if not sent by U.S. Mail, received) by February 15, 2022 (the “Claim Deadline”) to be considered for eligibility to recover from the Logitech Fair Fund.

I. Purpose of this Plan Notice.

The purpose of this Plan Notice is to inform you that you may be eligible to share in the proceeds of the Logitech Fair Fund described herein. To be potentially eligible to share in the Logitech Fair Fund, you must file a Claim Form in accordance with the steps set forth in this Plan Notice and in the Commission approved Second Plan of Distribution (the “Plan”). Claim Forms together with this Plan Notice are being mailed to all known Potential Claimants who purchased the Security during the Recovery Period and who can be identified as Potential Claimants by the Commission-appointed Fund Administrator (“Fund Administrator”) Epiq Class Action and Claims Solutions, Inc. (“Epiq”).¹ Copies of the Plan, this Plan Notice and the Claim Form are also available on the Logitech Fair Fund website at www.logitechfairfund.com and through the Securities and Exchange Commission’s (“SEC” or the “Commission”) website at www.sec.gov/divisions/enforce/claims/logitech-bardman.htm. Certain persons are excluded from eligibility under the Plan; these exclusions are summarized in Section III below.

Please Note: Receipt of this Plan Notice does not mean you are an Eligible Claimant.

NOTE TO INSTITUTIONAL FILERS. Claims with 100 or more transactions or on behalf of 20 or more different accounts must be submitted electronically and in the format specified by the Fund Administrator. To obtain the mandatory electronic filing requirements and file layout, you may visit the Logitech Fair Fund’s website at www.logitechfairfund.com or you may e-mail the Fund Administrator at eClaim@epiqglobal.com. Any submission not in accordance with the required electronic filing format may be rejected. Proof of authority to submit a Claim Form on behalf of any managed accounts must be submitted with any Claim Forms for such accounts. If you intend to file electronically, you can submit and track the history of your claims by using the Fund Administrator’s secure website, GCG ICE™ (www.gcgice.com), which is available 24/7. GCG ICE™ allows institutional investors to upload electronic claims and documents, track the history and status of submissions, view claim rejections and instructions as to how to fix them, update contact information, and receive important status alerts via e-mail.

SPECIAL NOTICE TO SECURITIES BROKERS AND OTHER NOMINEE PURCHASERS. If you purchased the Security during the Recovery Period as nominee for a beneficial owner and have additional names and addresses, then within seven (7) calendar days after you receive this Plan Notice send a copy of this Plan Notice and the accompanying Claim Form by first-class mail to all such beneficial owners; or within fourteen (14) days of receipt of the Plan Notice provide a list of the last known names and addresses of such beneficial owners to the Fund Administrator at the address listed in Part VI of this Plan Notice.

¹ On March 30, 2018, the Commission appointed Garden City Group, LLC “GCG” as the Fund Administrator. On June 15, 2018 GCG was acquired by Epiq and is now continuing as part of Epiq.

PLEASE READ THIS PLAN NOTICE CAREFULLY AND IN ITS ENTIRETY. IF YOU SATISFY THE ELIGIBILITY CRITERIA DESCRIBED BELOW, YOU MAY BE ELIGIBLE FOR A RECOVERY FROM THE LOGITECH FAIR FUND. THIS PLAN NOTICE CONTAINS IMPORTANT INFORMATION REGARDING YOUR POSSIBLE ELIGIBILITY TO SHARE IN THE LOGITECH FAIR FUND.

II. Background.

On April 19, 2016, the Securities and Exchange Commission (the “Commission”) issued an Order Instituting Cease-and-Desist Proceedings Pursuant to Section 21C of the Securities Exchange Act of 1934, Making Findings, and Imposing Cease-and-Desist Orders and Penalties (the “Order”) against the Respondents (the “Administrative Action”). In the Order, the Commission found Logitech responsible for recurring instances of improper accounting between 2008 and 2013 related to a product write-down, warranty liabilities, and revenue recognition. The Commission further found Doktorczyk and Bolles, both former officers of Logitech, responsible for the improper accounting for warranty liabilities that occurred during their employment. The Commission ordered Logitech, Doktorczyk, and Bolles to pay civil money penalties of \$7,500,000.00, \$50,000.00, and \$25,000.00, respectively. The Respondents have since paid in full and the Commission holds more than \$7.575 million, comprised of the civil money penalties paid by the Respondents and accrued interest.

On February 27, 2018, the Commission established a Fair Fund, pursuant to Section 308(a) of the Sarbanes-Oxley Act of 2002, as amended, so that the \$7.575 million in civil money penalties paid by the Respondents can be distributed for the benefit of the injured investors (the “Logitech Fair Fund”).² The Logitech Fair Fund is deposited in an interest bearing account at the United States Treasury Department’s Bureau of the Fiscal Service (“BFS”). All BFS fees will be paid by the Fair Fund, first from the interest earned on the funds, and if the interest is not sufficient, from the corpus of the Fair Fund. The assets of the Fair Fund are subject to the continuing jurisdiction and control of the Commission.

On November 30, 2017, the Commission appointed Miller Kaplan Arase LLP as Tax Administrator for the Logitech Fair Fund to handle its tax obligations. On March 30, 2018, the Commission appointed the Fund Administrator to assist in developing and executing the Plan. On October 26, 2018, the Commission approved the Plan.

The First Plan of Distribution (“First Plan”) was approved by the Securities and Exchange Commission (the “Commission”) on October 26, 2018, and resulted in the full compensation, including reasonable interest, of all eligible claimants who purchased shares of Logitech common stock on the Nasdaq Global Select Market. Approximately \$5 million, comprised of undistributed funds; unused reserves for taxes, fees, and expenses; interest; and tax refunds remains in the Fair Fund. On September 20, 2021 the Commission approved the Second Plan of Distribution.

III. Eligibility Criteria and the Distribution Formula.

To qualify for a payment from the Logitech Fair Fund, you must satisfy certain eligibility criteria that are described in detail in the Plan. The Plan is available on the Logitech Fair Fund’s website at www.logitechfairfund.com and on the Commission’s public website as <https://www.sec.gov/divisions/enforce/claims/logitech-bardman.htm>. You can also request a copy of the Plan by calling the Fund Administrator at (877) 919-5896 or by emailing at Questions@logitechfairfund.com. Those criteria include the following:

1. You must have purchased the Security during the Recovery Period.
2. Your purchases must have taken place on the SIX Swiss Exchange.
3. Your approved transactions must calculate to an Eligible Loss Amount as calculated under the Plan and your Distribution Payment must equal or exceed \$10.00.
4. **You are excluded from participation in the Logitech Fair Fund if you are an Excluded Party as defined in the Plan, including:**
 - (a) Doktorczyk and Bolles and their assigns, heirs, spouses, parents, children, dependents, or controlled entities;
 - (b) Logitech and its directors, officers, advisers, agents, affiliates who served in such capacity during the Relevant Period;
 - (c) Eric K. Bardman and Jennifer F. Wolf, the Defendants in *SEC v. Bardman, et al.*, Civ. Act. No. 3:16-2023 (N.D. Cal.), and any of their assigns, heirs, spouses, parents, children, dependents, or controlled entities;

² If ordered by the federal district court, the Logitech Fair Fund may also include collections in a related federal district court action against two former Logitech officers, Erik Bardman and Jennifer Wolf, captioned *SEC v. Bardman*, 16-cv-2023 (N.D. Cal.).

- (d) The Fund Administrator, its employees, and those persons assisting the Fund Administrator in its role as Fund Administrator; and
- (e) Any purchaser or assignee of a Potential Claimant's right to obtain a recovery from the Fair Fund for value; provided, however, that this provision shall not be construed to exclude those Potential Claimants who obtained such a right by gift, inheritance, devise or operation of law.

The Eligible Loss Amount incurred by an Eligible Claimant shall be determined as set forth in the Plan of Allocation, Section VI of the Plan. The methodology used to determine eligibility and calculate Distribution Payments are set forth in ¶¶ 41-52 of the Plan.

IV. Claim Forms.

A CLAIM FORM IS BEING MAILED TOGETHER WITH THIS NOTICE TO ALL POTENTIALLY ELIGIBLE CLAIMANTS KNOWN TO THE FUND ADMINISTRATOR. IF YOU DO NOT RECEIVE A CLAIM FORM IN THE MAIL OR REQUIRE ADDITIONAL CLAIM FORMS, SEE THE INSTRUCTIONS BELOW UNDER "ADDITIONAL INFORMATION," FOR OBTAINING CLAIM FORMS.

THE DEADLINE TO SUBMIT A CLAIM FORM AT THE ADDRESS BELOW IS FEBRUARY 15, 2022 (PLEASE NOTE: THIS IS A POSTMARK DEADLINE, OR IF SUBMITTED ELECTRONICALLY, A RECEIVED DATE). IF YOU FAIL TO TIMELY SUBMIT A COMPLETED CLAIM FORM, YOU WILL BE BARRED FROM RECEIVING A PAYMENT FROM THE LOGITECH FAIR FUND. THE CLAIM FORM MUST BE ACCOMPANIED BY APPROPRIATE SUPPORTING DOCUMENTS FOR EACH TRANSACTION LISTED IN PART III OF THE CLAIM FORM.

V. Claim Determinations.

The Fund Administrator will mail a notice advising each Potential Claimant that submitted a Claim Form of the determination concerning such claim (eligible, partially or wholly deficient, or ineligible). The Determination Notice will provide to each Potential Claimant whose claim is deficient, in whole or in part, the reason(s) for the deficiency, notify the Potential Claimant of the opportunity to cure such deficiency, and provide instructions regarding further necessary actions.

All determinations of the Fund Administrator that are made in accordance with the Plan shall be final and not subject to appeal.

VI. Instructions for Submitting a Claim Form.

YOU MUST COMPLETE AND SIGN THE CLAIM FORM AND SUBMIT IT TO THE FUND ADMINISTRATOR SO THAT IT IS POSTMARKED (OR IF NOT SENT BY U.S. MAIL, RECEIVED) NO LATER THAN FEBRUARY 15, 2022 AT THE ADDRESS LISTED BELOW IN ORDER TO BE CONSIDERED FOR ELIGIBILITY TO RECOVER FROM THE LOGITECH FAIR FUND:

**Logitech Fair Fund
c/o EPIQ
P.O. Box 10568
Dublin, OH 43017-7268**

VII. Other Claims.

Upon receipt and acceptance of a distribution from the Logitech Fair Fund, you shall be deemed to have released any claims you may have against the Fund Administrator and its agents. By participating in the distribution of the Logitech Fair Fund, you will not be releasing any rights or claims you may have against, among others, the Respondents, and Respondents' past or present directors, officers, employees, advisers and agents.

VIII. Additional Information.

Additional information regarding the Logitech Fair Fund may be found at www.logitechfairfund.com. Additional Claim Forms and Plan Notices may also be downloaded at the Logitech Fair Fund's website. You may obtain additional information or request copies of Claim Forms and Plan Notices by calling the Logitech Fair Fund's toll-free hotline at 877-919-5896 in the United States, or by emailing Questions@logitechfairfund.com.

PLEASE CHECK THE WEBSITE WWW.LOGITECHFAIRFUND.COM FREQUENTLY FOR UPDATES